EXPLORING THE IMPLEMENTATION OF BLOCKCHAIN TECHNOLOGY FOR THE ELECTORAL PROCESSES IN ZAMBIA

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DECLARATION

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ABSTRACT

This study examines Zambia's attempt to improve the security, openness, and effectiveness of the electoral process by introducing a blockchain-based voting system. A proof-of-concept model that includes smart contracts, system architecture, UI design, and encryption techniques is presented in the paper. The system encountered challenges, especially in the execution of smart contracts and thorough testing, even if it was effective in showing features like user authentication, election initiation, and secure voter registration. Though they were hindered by technical difficulties, security solutions, such as encryption techniques, showed promise. An examination in comparison to similar works reveals both commonalities and original additions. This study highlights the importance of user-centric design, adds real facts to the debate on blockchain applications in elections, and candidly addresses challenges that are faced. The acknowledgement of limitations encompasses partial implementation and data constraints. It is recommended that future development concentrate on solving technology problems, conducting thorough testing, making more data available, and improving user-centric design.

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I also would like to express my appreciation to my colleagues who helped me during my project. I would also like to thank the Electoral Commission of Zambia in particular officer for the support rendered to me and for the valuable information that was provided to me.

DEDICATION

The research is dedicated to all the workers of the Electoral Commission of Zambia both present and former for their dedication to work and ensuring that every citizen of this great country Zambia exercise their democratic right to vote.

I also dedicate this research to all the ICT Personnel in this country who work tirelessly to improve the technological landscape of Zambia.

Table of Contents

DECLARATION	4
ABSTRACT	5
ACKNOWLEDGEMENT	6
DEDICATION	7
CHAPTER 1: INTRODUCTION	13
1.1 Background to the Study	13
1.2 Problem Statement	14
1.3 Aim of the Project	15
1.4 Objectives of the Project	15
1.5 Research Questions	15
1.6 Project Scope	16
1.7 Significance of Study	16
CHAPTER 2: LITERATURE REVIEW	17
2.1 The Literature Review of the Electoral Process in Zambia	17
2.2 Literature Review on the Use of Blockchain Technology in the Electora	ıl Process 20
2.3 Challenges of the Use of Blockchain Technology in the Electoral Proces	ss22
2.4 Countries that have Implemented Internet/Blockchain-based E-Voting	Systems . 24
2.4.1 Internet/Blockchain-Based E-voting System in Estonia	24
2.4.2 Blockchain-Based E-voting System in Russia (The City of Moso	cow's Active
Citizen program)	25
2.4.3 Other Countries that have Implemented Blockchain-Based Voting	Systems 26
2.5 Literature Review Matrix on the Use of Blockchain Technology in the	he Electoral
Process	27
2.6 Research Gap and Open Issues with Blockchain-based Voting Systems	28
2.7 Proposed Model/System for the Blockchain-based Voting System in Za	mbia 29
CHAPTER 3: METHODOLOGY	31
3.1 Research Design	31

3.1.1 Qualitative Methodology	31
3.1.2 Quantitative Methodology	32
3.2 Adopted Method and Justification	33
3.3 Association of Research Method to Project	33
3.4 Research Data and Datasets	35
3.4.1 Primary Data	35
3.4.2 Secondary Data	35
3.4.3 Datasets	35
3.5 Data Collection Methods and Data Analysis Techniques	36
3.5.1 Data Collection Methods	36
3.5.2 Data Analysis Techniques	36
3.6 Ethical Concerns Related to the Research	37
3.6.1 Informed Consent	37
3.6.2 Confidentiality	37
3.6.3 Data Security	38
3.6.4 Transparency and Honesty	38
3.6.5 Compliance with Regulations	38
CHAPTER 4: DATA, EXPERIMENTS, AND IMPLEMENTATION	39
4.1 Appropriate Modelling of Project	39
4.2 Techniques, Algorithms, Mechanisms	40
4.4 Implementation Details	44
4.6 Results and Experiments	46
4.7 Confirmation and Validation	47
4.8 Iterative Enhancement	47
CHAPTER 5: RESULTS AND DISCUSSIONS	48
5.1 Results Presentation	48
5.2 Analysis of Results	53

5.3 Comparison to Related Work	55
CHAPTER 6: SUMMARY AND CONCLUSION	58
6.1 Summary of Main Findings	58
6.2 Contribution to the Body of Knowledge	59
6.3 Limitations of the Research	59
6.4 Future Works	60

LIST OF ABBREVIATIONS

PoC: Proof of Concept

UI: User Interface

IoT: Internet of Things

GDPR: General Data Protection Regulation

DLT: Distributed Ledger Technology

PKI: Public Key Infrastructure

API: Application Programming Interface

QR: Quick Response

KECCAK-256: A cryptographic hash function

AES: Advanced Encryption Standard

ITU: International Telecommunication Union

HTTP: Hypertext Transfer Protocol

HTTPS: Hypertext Transfer Protocol Secure

SSL: Secure Sockets Layer

TLS: Transport Layer Security

IPFS: InterPlanetary File System

SHA-256: Secure Hash Algorithm 256-bit

IEEE: Institute of Electrical and Electronics Engineers

EVM: Electronic Voting Machine

CSPRNG: Cryptographically Secure Pseudo-Random Number Generator

ECZ: Electoral Commission of Zambia

CARTER: The Carter Center

ZESN: Zambia Election Situation Network

CHAPTER 1: INTRODUCTION

1.1 Background to the Study

Since attaining independence in 1964, Zambia, a landlocked nation in Southern Africa, has made great progress toward developing a democratic political system. The electoral process significantly shapes the nation's democratic landscape. In Zambia, elections are an essential democratic mechanism that allows citizens to participate in national administration.

The Constitution of Zambia, which establishes the values of multiparty democracy, the rule of law, and respect for human rights, serves as the cornerstone of the country's democratic development. These tenets serve as the cornerstone of the electoral system, guaranteeing free, fair, and transparent elections.

Zambia has a multi-party system in place that permits different political parties to run for office. The election of local government representatives, members of parliament, and the president are all part of the electoral process. Voters in the nation use a mixed-member proportional representation system, casting ballots for both political parties and individual candidates. By encouraging varied political representation, this approach strengthens democratic plurality.

The Electoral Commission of Zambia (ECZ) is the independent entity in charge of planning and supervising elections. To maintain the integrity of the election process, the ECZ is essential. In addition to managing vote counting and tabulation, it also carries out voter registration and informs the public about electoral processes. Maintaining the credibility of elections depends on the ECZ's independence since it prohibits undue influence and fosters fairness.

Citizen participation is essential to Zambia's democratic electoral process. Eligible voters have the power to select their representatives through universal suffrage. Citizens are empowered by voter education programs and civic awareness campaigns, which help them make educated decisions during elections. In addition to their contributions to the democratic discourse, political parties and civil society organizations also help to cultivate an atmosphere of political accountability and engagement.

In Zambia, gender equality and social inclusiveness are prioritized in the election process. There has been an attempt to encourage women's, youth, and underprivileged communities' engagement in politics. Affirmative action policies and quota systems have been put in place

to increase the representation of various groups and make sure that the democratic process reflects the diversity of Zambian society.

The Zambian electoral process has undergone a lot of improvements in recent years. These changes were made to increase accountability and transparency while also lowering the prevalence of electoral fraud. The Electoral Process Act was passed in 2016, ushering in the most current amendments.

1.2 Problem Statement

Like many other nations, Zambia has experienced difficulties recently concerning the security, integrity, and openness of its electoral procedures. The nation's overall democratic integrity has been affected by instances of electoral fraud, voter manipulation, and worries about the accuracy of voter registrations, which have cast serious doubt on the legitimacy of elections. Conventional election systems, which use centralized databases and paper ballots, are vulnerable to several issues, such as fraud, data breaches, and ineffective coordination.

To ensure that voters have faith in the impartiality and accuracy of election results, there is also an increasing need to strengthen public confidence in the electoral system. These issues must be resolved to support the democratic values that underpin Zambia's government.

Considering this, investigating the application of blockchain technology to Zambian election procedures becomes essential. The decentralized and unchangeable nature of blockchain presents an opportunity to completely transform the methods for storing, authenticating, and retrieving electoral data. Zambia may be able to address problems with voter authentication, data security, and general election process transparency by utilizing blockchain technology.

Nonetheless, there are certain difficulties in using blockchain technology in an electoral setting. Extensive research is needed to address concerns about the acceptability, scalability, and accessibility of blockchain solutions within Zambia's heterogeneous populace. In addition, obstacles related to legislation and regulation need to be overcome to guarantee that blockchain applications follow current election rules and procedures.

In this regard, this is the primary problem statement the study will focus on, "How can blockchain technology be effectively and securely implemented in Zambia's electoral processes specifically focusing on the election day (voting day) and counting of votes to enhance transparency, integrity, and public trust while overcoming technical, social, and regulatory challenges?"

By examining this issue, we hope to find workable answers and approaches for the effective application of blockchain technology, opening the door for Zambia to have an electoral process that is safer, transparent, and democratic.

1.3 Aim of the Project

The project aims to implement a blockchain-based voting system to address the challenges with the electoral process in Zambia, focusing on voting (election day) and counting of votes after an election.

1.4 Objectives of the Project

The following are this project's objectives:

- 1. To examine whether blockchain technology may be used to avoid duplicate voting and ensure full auditability of all votes cast during the counting process, increasing public confidence in the accuracy of election outcomes.
- 2. To develop a proof-of-concept demonstration showcasing how blockchain could address specific security and transparency concerns in Zambian elections.
- 3. To identify the key challenges and potential solutions for implementing blockchain technology in the Zambian context.
- 4. To make suggestions regarding the potential use of blockchain technology in Zambia's election process.

1.5 Research Questions

The following are the research questions:

- 1. How can blockchain technology improve security and thwart fraud by addressing voter registration and authentication concerns in Zambia, particularly on election day?
- 2. Would a blockchain-based vote-counting mechanism, as opposed to the conventional paper ballot method, increase openness and public confidence in Zambia's election results? What advantages would it provide, if any?
- 3. What are the main obstacles—technical, social, and legal—to Zambia's adoption of a blockchain-based voting system, and how may they be successfully overcome?

- 4. Can a blockchain-based voting system be implemented and scaled successfully to meet the various technology needs and accessibility requirements of Zambian voters, especially those residing in rural areas?
- 5. How can Zambia's current legal and regulatory frameworks be expanded or modified to allow for the use of blockchain technology in the election process while maintaining democratic values and guaranteeing compliance?

1.6 Project Scope

There are numerous steps involved in Zambian elections, such as voter registration, delimitation, nominations for candidates, election day, announcement of the results, and other procedures. This project will investigate how blockchain technology might be used to improve the efficiency, security, and openness of Zambia's election-day voting and vote-counting procedures.

1.7 Significance of Study

For several reasons, the research into the potential application of blockchain technology to Zambian election procedures is crucial for the following reasons:

- ✓ Elections are safer and more transparent when data is tamper-proofed thanks to blockchain technology, which also ensures that the results are trustworthy by everybody.
- ✓ Increasing voter confidence: Voters are more inclined to participate and cast ballots when they are aware that the system is secure.
- ✓ More robust democracy: Safe elections safeguard the rule of law and our rights, improving government.
- ✓ Better and less expensive voting: Blockchain technology can simplify the procedure and save costs and time.
- ✓ Africa's inspiration: If Zambia prospers, other countries can take a cue from it.
- ✓ Global knowledge sharing: Zambia can add to the global conversation about blockchain technology's application to elections.

CHAPTER 2: LITERATURE REVIEW

2.1 The Literature Review of the Electoral Process in Zambia

The cornerstone of Zambia's democratic system is its Constitution, which lays out the rules for free and fair elections as well as the fundamental rights of its people. Every five years, this unicameral multiparty democracy elects new leaders for the 156-member legislature and the office of President. Zambia has consistently staged multi-party elections since 1991, enhancing its stature as an African democracy model.

But even with these successes, there have been difficulties with the electoral process. There are still issues with openness, accountability, and the general efficacy of democratic institutions. This study examines the benefits and drawbacks of Zambia's election system, concentrating on the critical phases of voting day and vote tallying to pinpoint major issues and investigate possible. We can comprehend the complexity and opportunities Zambia's election system brings better by critically analysing its advantages and disadvantages. This study can help to ensure that every Zambian opinion is heard and valued and can lead to educated conversations and actions that strengthen the country's democratic institutions.

According to Chanda (2019), there are several significant issues affecting the credibility and effectiveness of Zambia's election procedures. Poll workers who get insufficient training are more likely to make procedural mistakes, inconsistencies, and delays, which could disenfranchise voters and cast doubt on the accuracy of the results (Chanda, 2019). Voters get even more irritated and frustrated due to resource constraints, which include poor transportation, a dearth of voting materials, and inadequate infrastructure (Chanda, 2019). Voter access is hampered, and participation is discouraged by long queues at packed polling places, which might be the result of high voter turnout or administrative problems (Chanda, 2019). Finally, security worries about violence, intimidation, and possible fraud discourage voters from casting ballots and compromise the election's overall legitimacy (Chanda, 2019). Innovative solutions are required to address these issues and guarantee transparent, safe, and easy elections that foster public confidence and encourage full participation.

In the 2016 elections, Musonda (2021) noted a concerning pattern of violence and intimidation against opposition supporters before to the election (Musonda, 2021). This strategy damaged public trust, discouraged voting, and fostered dread. The apparent unequal deployment of security forces, which was regarded as giving preference to areas backing the ruling party and further frightening voters in the opposition, exacerbated the problem (Musonda, 2021). Voter

turnout decreased because of the ensuing climate of insecurity, especially among vulnerable populations like women and young people (Pop & Pop, 2020). Future elections in Zambia are seriously threatened by the perception of bias and the involvement of security forces, which is undermining confidence in the legitimacy and impartiality of electoral institutions (Musonda, 2021). Encouraging peaceful and inclusive involvement for all Zambians requires addressing these factors.

Phiri (2022) clarified several important concerns by highlighting crucial issues on the transmission of results and vote counting. One of the main points of dispute is that poll workers receive inadequate supervision and training, which could lead to mistakes being made when counting the votes (Phiri, 2022). These mistakes provide room for possible manipulation in addition to casting doubt on the results' correctness. The lack of transparency in the transmission and tallying of ballots, especially at individual polling locations, is another concerning feature (Phiri, 2022). This opacity adds to accusations of meddling and erodes public confidence in the electoral process. It is further worsened by restricted access for observers and confusing procedures (Phiri, 2022). Furthermore, even with the use of computerized systems, miscommunications and discrepancies between reported and official outcomes continue, creating confusion and raising questions about the overall system's dependability (Phiri, 2022). In addition, there are claims of fraud and manipulation, which are supported by irregularities and delays, which further erode public trust in the voting process and raise questions about the accuracy of the results as they are being transmitted (Phiri, 2022).

Sishuwa (2020) draws attention to important problems with Zambia's election system and exposes a concerning trend of political manoeuvres meant to weaken democracy. Politicians purposefully use violence and intimidation to weaponize fear to sow division and discourage supporters of the opposition from voting, undermining democracy's core principles and sustaining inequality of opportunity (Sishuwa, 2020). Notably, because of their perceived lack of social and political power, some demographic groups—such as women and young people—become more susceptible to violence and intimidation (Sishuwa, 2020). The troubling feature of governmental complicity and impunity is highlighted, suggesting that the state either supports or ignores election violence that benefits the ruling party (Sishuwa, 2020). This keeps people in a state of fear, giving criminals a sense of security and encouraging a lack of responsibility. In addition, psychological manipulation, threats, and intimidation are all included in the definition of electoral violence, which goes beyond physical attack and fosters a culture of fear that silences critics.

The final report on Zambia's 2021 general elections from the Zambia Election Situation Network (2022) identifies several problems that tainted the voting process (Zambia Election Situation Network, 2022). Although the early transmission of results is acknowledged, questions are raised over the lack of openness in the ballot counting process at each polling station, particularly concerning public access and independent verification (Zambia Election Situation Network, 2022). The report also emphasizes claims of abnormalities that occurred throughout the vote transmission and counting process, highlighting the necessity of additional investigation into anomalies, such as discrepancies in results and possible manipulation. Concerns about an unfair playing field, where the incumbent party may have an edge in terms of campaign financing and media access, are also expressed in the study, raising questions about the impartiality of the election contest.

Critical issues that raise questions about the transparency and integrity of the voting process are highlighted in The Carter Center's (2021) report on the 2021 General Elections (The Carter Center, 2021). Concerns are specifically voiced regarding the lack of transparency in several process areas, with an emphasis on vote counting and result verification (The Carter Center, 2021). The report accepts assertions that anomalies occurred during the transmission and counting of votes, resulting in variations in the results and the potential for manipulation (The Carter Center, 2021). This acknowledgement catalyses a request for more research to address the issues raised, emphasizing the necessity of a comprehensive review of the electoral processes to guarantee credibility and justice in subsequent elections.

There are certain noteworthy advantages to Zambia's electoral system, despite the obstacles it faces, as demonstrated by the numerous elections held between 2016 and 2021. Studies like the Carter Center report (2021) and the ZESN Observer report (2021) praise the higher voter turnout than in prior elections, indicating a rise in public participation and interest in the political process. Despite political difficulties, most reports and observations emphasize that elections were held in a relatively peaceful manner, with notable absences of severe violence, creating an atmosphere that was favourable to fair and unfettered participation. According to The Carter Center (2021), Phiri (2022), and ZESN (2021), the professionalism shown by poll workers and security officers during the elections helps to orderly and peaceful voting processes. According to reports like the Carter Center (2021) and Musonda (2021), the introduction of technology is praised for bringing about benefits like biometric voter registration and electronic result transmission systems, which increase efficiency and transparency while possibly reducing manipulation. Further supporting the electoral process's

legitimacy and possibly discouraging irregularities is the active participation of both foreign and native observers, as the Carter Center (2021) and ZESN (2021) have demonstrated.

Zambia's election procedures are changing, and while there has been some development, there are still issues that need to be addressed. A more inclusive, robust, and credible democracy that represents the will of its citizens can be achieved by addressing these issues through legislative changes, institutional strengthening, and greater openness. Zambia must maintain its commitment to progress, critical thinking, and vigilant monitoring to secure its democratic future.

2.2 Literature Review on the Use of Blockchain Technology in the Electoral Process

According to Ahram & Grincar (2019), blockchain is a distributed ledger technology that makes it possible to maintain records in a safe, open, and unchangeable manner (Ahram & Gricar, 2019). The research highlights effective ways that governments might use blockchain technology to establish e-government. First off, companies and individuals can generate reliable and secure digital IDs in the identity management space, which could lower fraud and boost the effectiveness of government services (Ahram & Gricar, 2019). Moreover, blockchain technology can be used to create a safer and more transparent voting process to raise voter turnout and lower the possibility of election fraud (Ahram & Gricar, 2019). The use of blockchain technology in the context of land registrations may result in a safer and more effective land registry system, enhancing the protection of property rights and lowering corruption (Ahram & Gricar, 2019). Additionally, blockchain can securely and confidentially store and share medical records in the healthcare industry, which might save costs and raise the bar for overall healthcare quality (Ahram & Gricar, 2019).

Mylrea & De Vries (2017), in their review of blockchain technology further highlighted the following benefits that include efficiency of operations for both government and businesses, transparency when it comes to service delivery that includes voting systems, cost reduction for services that are done manually by both government and businesses and lastly blockchain offers a fairly secure technology that enables security to sensitive systems (Mylrea & De Vries, 2017).

Mylrea & De Vries (2017), further highlighted the following challenges of blockchain technology including the complexity of implementing blockchain technology, regulatory frameworks regarding blockchain technology since this technology is fairly new, security is another concern and lastly, acceptance of blockchain is another challenge because most

governments authorities don't fully comprehend and accept the technology (Mylrea & De Vries, 2017).

Merkle (2017), highlighted that online or digital voting systems are vulnerable to the following challenges that include security meaning that digital voting systems are vulnerable to hacking and fraud, accuracy is another challenge when it comes to digital voting systems the challenge comes in when it's time to count the votes (Merkle, 2017). The following limitations were identified in this review, and they include the complexity that comes when teaching and educating users on the use of blockchain technology, cost is also another limitation because implementing new technologies comes with costs (Merkle, 2017).

Pop & Pop (2020), further added the following reviews on the Blockchain-based voting system, Enhanced security: Because blockchain is a tamper-proof and unchangeable ledger, votes that have already been cast cannot be altered or removed, voters have greater access to blockchain-based voting systems since they can use them from any location with an internet connection and cost savings: Blockchain-based voting systems can cut back on expenses like printing ballots and vote counting that come with traditional voting methods (Pop & Pop, 2020).

According to the review by Tapscott & Tapscott (2016), Blockchain technology has the potential to revolutionise many industries including voting e-governance (voting), finance and supply chain management (Tapscott & Tapscott, Blockchain revolution: how the technology behind bitcoin is changing money, business, and the world, 2016). The revolution of blockchain technology will reduce fraud and corruption, improve efficiency, and increase transparency (Tapscott & Tapscott, Blockchain revolution: how the technology behind bitcoin is changing money, business, and the world, 2016).

To guarantee data security, transparency, and immutability, blockchain technology records transactions across numerous computers in the form of a distributed, decentralized ledger. Although it was initially intended to serve as the core technology for the cryptocurrency Bitcoin, there are other uses for it. Notably, blockchain has drawn interest as a potential remedy for several problems with conventional voting procedures, including security, transparency, and accessibility. Blockchain provides a safe and unbreakable foundation for remote electronic voting, or e-voting, which is the practice of conducting elections using electronic devices such as computers or smartphones. The talk that follows goes over the essential ideas for integrating blockchain technology with electronic voting machines.

Blockchain technology is being investigated to improve the security and openness of electronic voting systems. Voting records are impossible for hostile actors to tamper with or change because of the decentralized and unchangeable nature of blockchain (Crosby, Pattanayak, Verma, & Kalyanaraman, 2016).

Blockchain technology may be applied to safely verify identities. Voters' identities may be verified on the blockchain, lowering the possibility of fraudulent voting (Crosby, Pattanayak, Verma, & Kalyanaraman, 2016). By using secure digital identities, qualified voters may cast their votes remotely via blockchain-based electronic voting, for voters who are unable to physically visit voting places or polling stations since the technology can be made available online.

A transparent and impenetrable voting ledger is created on the blockchain because each vote is recorded as a transaction (Crosby, Pattanayak, Verma, & Kalyanaraman, 2016). Voters can check to see if their vote was correctly recorded.

Blockchain-powered electronic voting systems may increase accessibility for voters with impairments. Additionally, it may make it simpler for voters in distant or distant locations to cast ballots. Due to the openness of the blockchain, the public and proper authorities may watch the election process in real-time. Auditing becomes more dependable and efficient.

Voter fraud, duplicate voting, and election result tampering are all risks that can be considerably diminished thanks to blockchain technology (Crosby, Pattanayak, Verma, & Kalyanaraman, 2016). Votes are safely kept and encrypted. Blockchain-based technologies can automate the tallying of votes, minimizing human error and accelerating the reporting of election results.

Blockchain-based e-voting systems have been used in some countries and for some countries, the use of blockchain-based voting systems is under research and development. The following are some of the countries that have used blockchain-based e-voting systems.

2.3 Challenges of the Use of Blockchain Technology in the Electoral Process

The following are the challenges with the use of Blockchain Technology in the electoral process and they range from technical complexities, regulatory and legal frameworks, security and vulnerabilities, user adoption and education, and cost and resource considerations.

✓ Implementation: Significant technological know-how and infrastructure development are needed to create safe and scalable blockchain-based voting systems (Mylrea & De Vries, 2017).

- ✓ Scalability: With today's blockchain technology, managing massive vote volumes and guaranteeing effective transaction processing might be challenging (Mylrea & De Vries, 2017).
- ✓ Absence of clear regulations: The use of blockchain in elections may not be sufficiently covered by the current legal frameworks, which would lead to uncertainty and make implementation more difficult (Mylrea & De Vries, 2017).
- ✓ Data privacy issues: Careful thought and legislative frameworks to safeguard voter data are needed to strike a balance between data privacy and openness in blockchain-based systems (Ahram & Gricar, 2019).
- ✓ Cybersecurity risks: Blockchain systems need strong security procedures and ongoing oversight because they are still vulnerable to cyberattacks and vulnerabilities (Mylrea & De Vries, 2017).
- ✓ Insider threats and human error: Inadvertent mistakes made during implementation or operation, as well as insider threats, might jeopardize the integrity of the system (Mylrea & De Vries, 2017).
- ✓ Public awareness and trust: For blockchain technology to be widely adopted, it is imperative to increase public trust and understanding of it in the context of elections (Merkle, 2017).
- ✓ Digital divide and accessibility: It is still difficult to provide fair access for all voters, irrespective of their level of technological aptitude or digital literacy (Merkle, 2017).
- ✓ Costs of implementation and upkeep: Blockchain-based voting systems can be costly to set up and operate, necessitating a thorough cost-benefit analysis (Merkle, 2017).
- ✓ Resource constraints: Blockchain-based voting systems may be challenging to adopt and maintain in developing nations and areas with limited resources (Mylrea & De Vries, 2017).
- ✓ Possibility of disenfranchisement: There are worries that some groups might lose their rights because of digital literacy standards or because they don't have access to technology (Merkle, 2017).
- ✓ societal and psychological factors: It's important to talk about the possible societal concerns around technology as well as the psychological effects of voting on a new platform (Ahram & Gricar, 2019).

2.4 Countries that have Implemented Internet/Blockchain-based E-Voting Systems

2.4.1 Internet/Blockchain-Based E-voting System in Estonia

According to Ehin et al. (2022), In 2005, Estonia became the first nation in the world to provide countrywide, legally binding elections through the Internet (Ehin, Solvak, Willemson, & Vinkel, 2022). Since then, local (2005, 2009), parliamentary (2007, 2011), presidential (2011, 2019), and European elections have all adopted it. The public-key infrastructure (PKI) that underpins the Estonian Internet voting system employs digital signatures to guarantee the security and integrity of the voting process (Ehin, Solvak, Willemson, & Vinkel, 2022). To vote online, a voter must first register and get a digital ID card. Then, from any computer with an Internet connection, they may cast their vote. A voter's vote is encrypted and forwarded to a central server when they cast an Internet ballot. The encrypted vote is stored on the server, which also checks the voter's digital signature. The votes are decoded and tallied on election day.

The following are the benefits and opportunities of Internet Voting in Estonia:

- ✓ Enhanced convenience: With an Internet connection, voters may cast their votes from anywhere in the world, making it simpler for those who are on the go, have impairments, or have trouble getting to a polling site to exercise their right to vote.
- ✓ Voter turnout is increased through internet voting, particularly among younger voters and those who reside in remote regions.
- ✓ Cost savings: By removing the need to print, distribute, and staff polling sites, internet voting can help keep election expenses down.

The following are the challenges of Internet Voting in Estonia:

- ✓ Security concerns: Some people are concerned that online voting may be subject to fraud or hackers.
- ✓ Digital divide: The election process may be affected by the fact that not everyone has access to or knowledge of the Internet.
- ✓ Voter trust: Some voters could be reluctant to vote online, particularly if they have reservations about the system's security.

The following are some of the open issues identified in the internet voting system in Estonia:

Numerous open questions regarding Internet voting remain unanswered by researchers, including:

- ✓ how to make sure the voting process is secure and fair.
- ✓ how to stop voting fraud.
- ✓ How to close the digital gap.
- ✓ How to increase voter confidence in online voting.

Internet voting has thus far been a success in Estonia. The method has increased voting turnout and proved trustworthy and safe. It is crucial to remember that Estonia is a tiny nation with a highly educated populace. In other nations with distinct political and social situations, it is unclear whether online voting would be as effective.

2.4.2 Blockchain-Based E-voting System in Russia (The City of Moscow's Active Citizen program)

The blockchain-based e-voting in Russia is another example, even though the system is not tailored for political-based elections, the system is used by the community members of a particular community to vote on various issues affecting their community. According to Kshetri and Voas (2018), the Active Citizen initiative for the city of Moscow was introduced in 2014 and has more than two million users (Kshetri & Voas, 2018). Up to 5,000–7,000 meetups take place in different Moscow districts every year. As of February 2018, 92 million votes have been cast in 3,450 polls using a centralized Oracle database, covering a range of topics including whether to build driveway access gates in neighbourhood yards, whether to hire a new doorkeeper, and the colour of the seats in a new sports stadium. Although the examples shown here don't pertain to political positions, blockchains may be modified for that use.

To make the vote results publicly auditable and for voting, the program began employing a blockchain in December 2017 (Kshetri & Voas, 2018). A blockchain is used to transfer each question that has been debated by the community and put up for voting to the electronic voting system. The results are recorded on a ledger that includes a list of all prior polls when the vote is finished.

For instance, 137,000 to 220,000 people reportedly took part in the most popular surveys (Kshetri & Voas, 2018). In one such instance, users of the Ethereum network expressed a desire for temporary relocation if their current home was razed and replaced by a superior structure

(Kshetri & Voas, 2018). The platform's peak transaction rate was around 1,000 per minute. The voting platform may not be able to manage the traffic if a larger percentage of Moscow's 12 million residents take part. Despite the challenges faced, the following are the benefits of using the system:

- ✓ Blockchains produce cryptographically secure voting records to combat voter manipulation (Kshetri & Voas, 2018). Votes are correctly, thoroughly, securely, and openly recorded. Therefore, votes cannot be changed or manipulated. Blockchains also maintain participant privacy while remaining accessible to the public for review. Although nothing is completely safe, tampering with blockchains is quite difficult.
- ✓ Voting with blockchain support might increase voter turnout (Kshetri & Voas, 2018). For instance, annual general or any meetings of the community may be expensive affairs with little community members engagement. Blockchain-enabled voting is a modular technology that makes voting safe and affordable while helping remote shareholder engagement.

2.4.3 Other Countries that have Implemented Blockchain-Based Voting Systems

One of the few nations partaking in the trend of computerized voting is Switzerland and every citizen of Switzerland, a country renowned for its extensive democracy, who has reached the age of 18 is eligible to participate actively or inactively in elections that may be held on a wide range of issues and for a wide range of choices (Yaday, Urade, Thombare, & Patil, 2020).

Gyeonggi-do, a province of South Korea, used a Blockchain-Enabled Voting system in March 2017 to vote on the Ddabok Community Support Project (Kshetri & Voas, 2018). 9,000 people cast their votes using a blockchain platform with smart contracts created by the Korean financial technology firm Block. The votes, outcomes, and other pertinent information were kept on a blockchain. In this procedure, no management nor a centralized authority was present. This was South Korea's first use of the technique.

A partial count of the results from the general elections in March 2018 in Sierra Leone was released by the Swiss blockchain firm Agora (Kshetri & Voas, 2018). One of the authorized observers, Agora, offered an impartial count for comparison. The elections in Sierra Leone were referred to by Agora as a "use case" as opposed to a "full implementation" of Blockchain Enabled Voting.

2.5 Literature Review Matrix on the Use of Blockchain Technology in the Electoral Process

Author(s)	Year	Key Points	Benefits Identified	Challenges Identified	Countries/Systems
Ahram &	2019	Blockchain offers	Increased security,	Technical complexity,	General review, no
Gricar		promising solutions for	transparency, and	regulatory	specific
		e-government,	efficiency.	frameworks, security	countries/systems.
		including identity		concerns, and user	
		management, voting,		acceptance.	
		land registers, and			
		healthcare.			
Mylrea &	2017	Blockchain highlights	Efficiency,	Implementation	General review, no
De Vries		efficiency,	transparency,	complexity, regulatory	specific
		transparency, and	security, and cost	frameworks, security	countries/systems.
		security for	reduction.	concerns, and user	
		governments and		acceptance.	
		businesses.			
Merkle	2017	Blockchain addresses	Enhanced security	The complexity of user	General review, no
		vulnerabilities in online	and accuracy of	education and cost of	specific
		voting systems but	voting.	implementation.	countries/systems.
		faces challenges in user			
		education and cost.			
Pop &	2020	Blockchain-based	Increased security,	Not mentioned.	General review, no
Pop		voting offers enhanced	accessibility, and		specific
		security, voter	cost efficiency.		countries/systems.
		accessibility, and cost			
		savings.			
Tapscott	2016	Blockchain	Reduced fraud and	Not mentioned.	General review, no
&		revolutionizes	corruption,		specific
Tapscott		industries like voting	improved		countries/systems.
		by reducing fraud, and	efficiency, and		
		corruption, and	transparency.		
		increasing efficiency			
		and transparency.			

Crosby et	2016	Blockchain technology	Security,	Technical complexity,	General review, no
al.		improves security,	transparency,	scalability, lack of	specific
		transparency, and	accessibility, voter	regulations, data	countries/systems.
		accessibility in e-	verification, remote	privacy, cybersecurity	
		voting.	voting, auditability,	risks, insider threats,	
			reduced fraud, and	and public trust.	
			faster vote		
			counting.		
Ehin et al.	2022	Estonia implemented	Increased	Security concerns,	Estonia (internet-
		the world's first	convenience, voter	digital divide, and	based)
		nationwide internet-	turnout, and cost	voter trust.	
		based voting system in	savings.		
		2005.			
Kshetri &	2018	Moscow's Active	- Secure voting	Scalability concerns	Russia (Moscow's
Voas		Citizen program uses	records, increased	for large-scale	Active Citizen
		blockchain for	voter turnout, and	elections.	program)
		community voting, not	remote		
		political elections.	participation.		
Yadav et	2020	Switzerland uses online	- Not mentioned.	Not mentioned.	Switzerland (online
al.		voting for various			voting)
		issues and choices.			

2.6 Research Gap and Open Issues with Blockchain-based Voting Systems

The following are the main challenges identified with blockchain-based voting systems from various countries that have implemented this technology:

- 1. User experience: It is important to make blockchain-based electronic voting systems simple to use for all voters, even those who are unfamiliar with blockchain technology.
- 2. Scalability is a requirement for blockchain-based electronic voting systems to manage enormous numbers of voters and votes.
- 3. Governments must create laws that ease the deployment of blockchain-based electronic voting systems.

- 4. Security: Blockchain networks are susceptible to several assaults, including Sybil and 51% attacks. Internet voting methods run the danger of being hacked or otherwise exploited to interfere with election results.
- 5. Digital divide: Not everyone has access to the internet equally, which may hurt certain votes by causing a digital split.
- 6. Voter education: Voters must be informed about the hazards associated with using online voting technologies and how to do it securely.
- 7. Building public trust is crucial to making sure that voters are at ease using the Internet to cast their ballots. This needs responsible and transparent election administration procedures.

2.7 Proposed Model/System for the Blockchain-based Voting System in Zambia

This study offers a novel conceptual framework for Zambia's blockchain-based voting system. This system, which is customized to meet the unique requirements of the country, uses distributed ledger technology to provide a safe, open, and easily accessible voting environment. By encouraging more voter participation and bolstering confidence in the political process, it aims to address the flaws of conventional approaches.

The architecture incorporates novel cryptographic protocols, a strong digital identity management system, and secure procedures for creating and distributing ballots to ensure voter privacy and ballot integrity. Considering the contextual difficulties, this study explores the viability and possible effects of putting this system into place in Zambia, addressing issues with accessibility, scalability, and legal frameworks.

The proposed model will have the following considerations:

- 1. **Voter Registration:** the current electoral process in Zambia registers voters who are above the age of eighteen years. The registration process in Zambia is usually a continuous process until the elections are closed then the registration stops to allow the Electoral Commission of Zambia to consolidate and compile the voter register. In this view, the proposed system will implement a similar setup except the voter register will be in the form of a database. Only the voters of users identified from the database will be able to vote.
- 2. **Ballot Creation and Distribution:** The ballot papers in the current electoral process in Zambia are done through the nomination process. The nomination process is where

the candidates from various parties who wish to stand on either the presidential, member of parliament or local government elections are nominated. Once the nomination process is done, the commission then prepares the ballots through printing and producing the paper-based ballot papers. Once the ballots are printed and verified that all the candidates are on the ballot, then the ballots are distributed to the various polling stations. In the proposed model, the candidates will be stored in a database and voters will be able to pick candidates from the database acting as the ballots.

- 3. Voter Authentication and Vote Casting: once the elections are initiated from the system, the voters will be able to log in and then cast their vote in any election. The voters that are registered in the database (voter register) will be authenticated and then allowed to vote. The voters will be verified once they register and verifying the users will act as the verification process that takes place during the voter registration process.
- **4. Vote Tallying Reporting:** the proposed model of the system will enable voters and other interested parties to view the results once the election period is initiated. This will enable transparency in the electoral process. Once the election period is over, the vote results will be tabulated automatically and then the verification and audit process will follow.
- **5. Verification and Auditing of Results:** the proposed system will allow for the election vote results to be audited and verified by any interested parties.

CHAPTER 3: METHODOLOGY

3.1 Research Design

To thoroughly assess the suggested blockchain-based voting model for Zambia, this study uses a mixed-methods research methodology. It incorporates:

3.1.1 Qualitative Methodology

Creswell & Creswell (2017) highlight qualitative methodology as gathering and analysing non-numerical data, the research approach focuses on comprehending and interpreting the intricacies of social phenomena, human behaviour, and experiences (Creswell & Creswell, 2017). The focus is on investigating meanings, perspectives, and circumstances to offer a more profound comprehension of the topic. Methods including participant observation, focus groups, interviews, content analysis, and case studies are frequently used in qualitative research. In this regard, the research will examine perspectives, attitudes, and potential issues about the model's implementation through semi-structured with election officials and the analysis from the literature review.

Literature Review

The qualitative aspect of this study starts with a thorough analysis of the literature, looking at reviews and studies that have already been done on the use of blockchain technology in election procedures. The theoretical underpinnings of the suggested blockchain-based voting model are informed by important academic articles, such as those by Ahram & Gricar (2019), Mylrea & De Vries (2017), Merkle (2017), Pop & Pop (2020), Tapscott & Tapscott (2016), and Crosby et al. (2016).

Interviews

Interviews with election officials yield qualitative information to augment the literature review. Purposive interviewing was used to choose participants, focusing on those with knowledge of blockchain technology, election administration, and related subjects. The qualitative data is essential for understanding real-world issues, worries, and suggestions from experts actively engaged in election processes.

Issues of Sample and Population

Election officials and other observers from different backgrounds, representing different geographic locations and governmental levels, make up the sample for the study and interview

sessions. This diversity guarantees a thorough comprehension of the difficulties encountered at various administrative levels of the electoral system.

Data Collection and Analysis

Semi-structured interviewing allows for the exploration of emergent themes with flexibility. To find patterns and trends in the qualitative data, thematic analysis is used. This type of analysis entails classifying, categorizing, and identifying recurrent themes in the responses.

3.1.2 Quantitative Methodology

Bryman (2015) highlights quantitative methodology as a research approach that uses numerical data gathering and analysis to conduct a systematic empirical exploration of observable and quantifiable events (Bryman, 2015). To reach generalizable findings and spot trends or correlations between variables, this approach places a strong emphasis on objectivity, accuracy, and statistical analysis. In this regard, to get an understanding of blockchain-based voting systems, literature review research was conducted to understand how other countries have conducted blockchain-based voting. Literature review research was further conducted to understand the current electoral challenges in Zambia with a focus on election observers, academicians, and other stakeholders.

Development of Blockchain-Based Voting Model

The design, development, and testing of Zambia's proposed blockchain-based voting model comprise the quantitative phase. Qualitative interviews and the literature analysis provided insights for this approach.

Software Development Methodology

Agile is the software development process that was used for this project. The agile development style facilitates flexibility and iterative development, which is essential for adjusting to the changing needs of the voting system based on blockchain.

Issues of Sample and Population

Voters and election officials are among the system users that are referred to as the sample in software development. Potential users from a variety of demographic and geographic backgrounds make up the population.

Data Collection and Analysis

Testing the blockchain-based voting model in a controlled setting is part of the quantitative phase's data collection process. System dependability, security procedures, and transaction speed are examples of metrics that are measured. The quantitative results are then interpreted using statistical analysis.

3.2 Adopted Method and Justification

Qualitative Data Collection: Conducted interview with the election official from the Electoral Commission of Zambia to understand the current challenges of the electoral process in Zambia and gather information concerning the commission's possible solution to address the challenges with the use of blockchain technology.

Justification: Interviews and focus groups allow for a detailed exploration of personal experiences, concerns, and hopes regarding blockchain voting, providing valuable insights beyond quantitative data. The reason for only interviewing the election official was due to time constraints.

Quantitative Data Collection: A literature review on the challenges of the electoral process in Zambia was done and a review was done on the use of blockchain technology in the electoral process.

Justification: To supplement the qualitative investigation of individual experiences, statistically representative data on public opinion and trust levels are provided by the literature research on the difficulties facing the electoral process as well as the application of blockchain technology.

3.3 Association of Research Method to Project

Comprehensive Understanding: The suggested blockchain-based voting model can be fully comprehended thanks to the mixed-methods approach. Qualitative techniques, including interviews, offer deep insights into the problems and possible solutions by delving into the complex viewpoints and experiences of election officials. Conversely, quantitative approaches, like literature reviews, provide a more comprehensive picture by examining the data that is

currently available on issues with the election process and the widespread use of blockchain technology in voting systems.

Comprehensive Analysis: By identifying the qualitative elements of the topic, such as the expectations, worries, and attitudes of important parties engaged in the election process, the qualitative methodology offers a comprehensive analysis of the topic. Understanding the human elements involved in the adoption of new technology in a delicate area like elections is essential. Reviews of the literature provide a methodical analysis of the body of knowledge and empirical data about voting issues and blockchain-based voting systems, which adds to a more thorough assessment from a quantitative perspective.

Data Analysis: Data triangulation is made easier by the study's use of both qualitative and quantitative approaches, which play to each other's advantages. Triangulation, which involves cross-checking data gathered from several sources and techniques, improves the validity and dependability of study conclusions. A more solid foundation for findings can be created by combining the quantitative data from literature reviews with the qualitative insights from the interviews.

Project Relevance: The goals of the project are directly related to the research methodologies. Conducting interviews with election officials from the Electoral Commission of Zambia is in line to comprehend regional viewpoints and obstacles unique to Zambia's electoral environment. Reviews of previous research provide a worldwide perspective by incorporating knowledge from a range of experiences deploying blockchain-based voting systems across national borders.

Practical Guidance: By providing a balance between breadth and depth of information, the mixed methods approach practically directs the project. Literature reviews offer an organized study of the body of knowledge, whereas interviews offer information that is relevant to the moment and the situation. The combination guarantees that, given Zambia's particular sociopolitical context, the suggested blockchain-based voting paradigm is not only theoretically sound but also practically feasible.

In conclusion, the mixed-methods research approach is purposefully chosen to offer a thorough, culturally appropriate, and nuanced assessment of the suggested blockchain-based voting model in Zambia. A more thorough investigation of the complex issues related to the integration of blockchain technology into the election process is made possible by the combination of qualitative and quantitative methodologies.

3.4 Research Data and Datasets

The study will make use of both primary and secondary data sources to fully assess the suggested blockchain-based voting paradigm for Zambia. Because of the nature of the study question, a wide range of data must be gathered to offer thorough insights into the difficulties and possibilities of the suggested model.

3.4.1 Primary Data

Interviews: Semi-structured interviews with important stakeholders, especially election officials from the Electoral Commission of Zambia, will be the main source of qualitative data. The purpose of these interviews is to learn about their viewpoints, past encounters, and insights into the difficulties the existing election system has, as well as what they want to get out of the suggested blockchain-based voting model. Their opinions on the viability, security issues, and possible advantages of using blockchain technology in elections will also be covered in the interviews.

3.4.2 Secondary Data

Literature Review: To get secondary data on two primary fronts, a thorough assessment of the current literature will be carried out. First, a review of the literature on the difficulties facing Zambia's current electoral process will be conducted. This comprises scholarly works, election observer reports, and publications by pertinent parties. Second, a survey of international literature will be conducted to comprehend the uses, achievements, and difficulties of blockchain technology in voting systems across the globe.

Current Electoral Data: To pinpoint past obstacles and trends, secondary data from earlier Zambian electoral procedures will be examined. This contains information on election outcomes, voter turnout, and any anomalies that have been documented. It is imperative to comprehend the historical background to formulate the issues that the suggested blockchain-based voting model seeks to resolve.

3.4.3 Datasets

Voter Registration Database: A simulated dataset will be built to depict a voter registration database in the context of the proposed blockchain-based voting mechanism. A fake voter profile including demographic data will be included in this dataset. Testing the model's functionality through dataset simulation will guarantee that only eligible voters can take part.

Worldwide Blockchain Voting Implementations: Information about the deployment of blockchain-powered voting mechanisms in other nations will be gathered. This contains details

about the technology utilized, the results, the difficulties encountered, and the reaction from the public. Examining these datasets will shed light on the advantages and disadvantages of implementing blockchain technology in the political process.

3.5 Data Collection Methods and Data Analysis Techniques

To accomplish the research goals and obtain a significant understanding of the suggested blockchain-based voting model for Zambia, a blend of data-gathering strategies and analytical approaches will be utilized.

3.5.1 Data Collection Methods

Interviews: Key stakeholders, in particular election officials from the Zambian Electoral Commission, will be the subject of semi-structured interviews. To gather qualitative information on their viewpoints, experiences, and expectations regarding the current electoral difficulties and the suggested blockchain-based voting paradigm, these interviews will be audio recorded, transcribed, and then analysed.

Literature Review: A thorough examination of the literature will be conducted to gather secondary data. We will carefully examine academic papers, reports, and publications about the difficulties facing Zambia's current electoral process as well as the use of blockchain technology in voting systems around the world. This approach will yield a thorough comprehension of the current state of knowledge.

Simulation and Prototyping: To evaluate the viability of the suggested blockchain-based voting mechanism, simulated datasets corresponding to a voter registration database will be produced. To visualize the functioning of the proposed system and identify potential issues, a simpler version of it will be created as part of the prototyping process. This approach will make it easier to investigate the model's viability practically.

3.5.2 Data Analysis Techniques

Analysis of Qualitative Data: A thematic analysis will be performed on the qualitative data collected through interviews. We'll identify themes, trends, and important insights about the shortcomings of the current voting process as well as what to anticipate from the suggested blockchain-based alternative. The rich story that this research will provide will supplement the quantitative data.

Content Analysis: The information acquired from the literature review will be methodically reviewed and categorized using content analysis. Through the identification of themes, patterns, and trends in several sources, secondary data can be presented in an organized manner.

Comparative Analysis: To compare the difficulties encountered by Zambia's present electoral process with other countries' experiences with blockchain-based voting systems, a comparative analysis will be carried out. This approach will draw attention to parallels, divergences, and possible takeaways.

A thorough examination of the suggested blockchain-based voting model will be possible through the integration of qualitative and quantitative data, modelling, and prototyping. Together, thematic, material and comparative analyses will help to provide a sophisticated knowledge of the goals and study issues.

3.6 Ethical Concerns Related to the Research

Respecting the rights and welfare of all individuals involved and upholding the integrity of the study depends heavily on ensuring the ethical conduct of research. The ethical considerations and safety measures used during the study procedure are described in this section.

3.6.1 Informed Consent

Interviews: Informed consent will be acquired before interviewing electoral officials. Participants will receive comprehensive information about the goals, methods, possible dangers, and advantages of the research. Before the interviews, they will give written consent and have the chance to ask questions.

Literature Review: No personal consent is needed for secondary data gathered from the literature review, which is publicly available. Nonetheless, due credit and citation will be given by academic honesty and intellectual property rights.

3.6.2 Confidentiality

Interviews: All interview transcripts and recordings will be anonymised to safeguard participants' privacy. The participants' confidentiality will be maintained by removing or substituting pseudonyms for personal identifiers.

Prototyping and Simulation: Any simulated datasets used to test the suggested model shall be created in a way that makes it impossible to link specific voter data to actual people. Real voter data will not be the focus; instead, system functioning will be tested.

3.6.3 Data Security

Storage: All study materials, including as transcripts, simulated datasets, and interview recordings, will be safely kept. To avoid any illegal use or disclosure, access will be limited to researchers who have been granted permission.

Digital Security: Efforts will be made to safeguard digital assets due to the sensitive nature of the research. The data used in the simulation of the system will not be real data but dummy data especially the voters and candidates.

3.6.4 Transparency and Honesty

Reporting: Results and findings shall be communicated openly and truthfully. All possible conflicts of interest and prejudices will be disclosed. To preserve the research's integrity, the limits and methodology will be made evident.

3.6.5 Compliance with Regulations

Ethical Review: The study technique, which involves ethical considerations, shall be evaluated, and approved by the project supervisor. The research will be conducted adhering to established ethical standards and directives. Partially meeting the requirements for the Master of Science in Computer Science degree is the aim of the research. For this reason, the study will only be treated as an academic publication.

The research endeavours to uphold the values of honesty, respect, and responsibility in the pursuit of knowledge and understanding by conforming to these ethical issues. Throughout the study, participants' rights, and welfare as well as ethical research conduct norms will come first.

The goal of the suggested methodology is to offer a comprehensive grasp of the feasibility and difficulties of putting in place a blockchain-based voting system in Zambia. The research attempts to provide a nuanced perspective on the possible influence of the suggested model on the election process by fusing qualitative and quantitative methodologies.

CHAPTER 4: DATA, EXPERIMENTS, AND IMPLEMENTATION

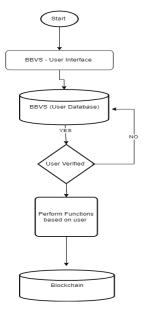
We explore the actual application of the proposed blockchain-based voting paradigm for Zambia in this chapter. This chapter examines the system architecture, smart contract development, user interface design, blockchain network configuration, security measures, testing, and experimental outcomes, building on the techniques described in Chapter 3.

4.1 Appropriate Modelling of Project

A careful and flexible modelling approach that closely adheres to the project's overall goals is required for the creation of Zambia's proposed blockchain-based voting mechanism. To tackle the complex issues that Zambia's present electoral process faces, the modelling approach is heavily influenced by information gleaned from an extensive literature review and conversations with influential election officials.

A key component of the research technique is the modelling phase, which focuses on developing a strong proof-of-concept and system architecture that makes use of blockchain technology. The goal of this design is to strengthen and transform the electoral process by incorporating security and transparency features. The procedure includes a careful analysis and characterization of the several system elements that make up the suggested model.

Conceptualizing and designing a safe and transparent voting system using blockchain technology is a key component of the modelling process. This entails a methodical dismantling of essential system elements, including the blockchain network architecture, voter registration database, and voter-and-election-official-specific user interfaces.



Blockchain-Based Voting System Architecture

Understanding and maximizing the relationships between these many components is a key focus of the model. This strategy is essential to creating a unified and intuitive system. Voters and election officials will encounter as little complexity as possible thanks to the intuitive design of the interactions. Adopting blockchain technology in the election process should improve rather than complicate the process overall, and this may be ensured by taking a user-centric approach.

This stage of the modelling process has a strong practical foundation in addition to theoretical concerns. The goal is to create a concrete and efficient blockchain-based voting model using the knowledge gathered from the literature review and interviews. This modelling project places a high priority on the smooth integration of technology and user experience, understanding that the suggested model's effectiveness depends on its capacity to be applied in the real world and have a beneficial influence on Zambia's electoral system.

4.2 Techniques, Algorithms, Mechanisms

The efficacy of the proposed blockchain-based voting model is supported by a deliberate combination of several techniques, algorithms, and protocols. All these elements work together to guarantee the integrity, security, and openness of the voting process inside the blockchain network.

1. Consensus Mechanism: The inclusion of a strong consensus mechanism in the blockchain network is a basic feature of the model. The purpose of this method is to authorize and validate network transactions. A key component of ensuring the confidentiality and integrity of voting data is the consensus method, which requires the consent of many of all nodes in the network. This increases the system's overall credibility by guaranteeing that only legitimate and impenetrable transactions are added to the blockchain.

To ensure that the transactions going to the blockchain are added by the right user, validation rules and checks have been implemented in the system.

The below code snippet shows that only the admin will be allowed to perform some functions in the system.

```
constructor() {
    admins[msg.sender] = true;
    started = false;
    ended = false;
}

modifier onlyAdmin() {
    require(admins[msg.sender] == true, "Only Admin");
    _;
}

function addAdmin(address _address) public onlyAdmin {
    admins[_address] = true;
}
```

This code snippet shows the validation that has been implemented to add the candidate to the blockchain network. The 'onlyAdmin' validations on the functions ensure that only the admin can add the candidate.

```
struct Candidate {
    string name;
   string info;
   bool exists;
mapping(string => Candidate) public candidates;
string[] candidateNames;
function addCandidate(string memory _candidateName, string memory _info, uint256 _startTime, uint256 _endTime)
   public
    onlyAdmin
   Candidate memory newCandidate = Candidate({
       name: _candidateName,
       info: _info,
       exists: true
    candidates[_candidateName] = newCandidate;
    candidateNames.push(_candidateName);
function getCandidates() public view returns (string[] memory) {
   return candidateNames;
```

2. Smart Contracts: The voting procedure is automated and subject to pre-established restrictions and rules thanks to the model's use of smart contracts. The precise requirements that must be satisfied for a vote to be deemed legitimate are encoded into these self-executing contracts. Smart contracts provide transparency and minimize the possibility of human error by decreasing dependence on manual procedures. By automating the voting process and enforcing strict adherence to predetermined regulations, smart contracts improve the overall efficiency and dependability of the system.

The contract was developed using Solidity as the programming language. Solidity being an object-oriented programming language enabled the contract to be developed to interact with the Ethereum network.

3. Encryption techniques: Advanced encryption methods make up an essential security layer in the scheme. These methods are used to safeguard voter information, guaranteeing the privacy of ballots both in transit and in storage. The concept protects individual voter privacy and prevents unwanted access by utilizing cutting-edge encryption methods. To allay worries about data breaches and guarantee the confidentiality and security of the voting process, encryption measures are essential. To increase the privacy and security of the vote, the hashing of the votes to be sent to the Ethereum network was implemented. Below is the code snippet to demonstrate the hashing of the votes. The system utilized the KECCAK-256 to hash the votes.

```
function vote(
    string memory _voterId,
    string memory _voterName,
    string memory _candidateName
) public {
    require(started == true && ended == false, "Election not active");
    require(block.timestamp >= startTime && block.timestamp <= endTime, "Not within election timeframe");
    require(candidates[_candidateName].exists, "No such candidate");
    require(!voterIds[_voterId], "Already Voted");

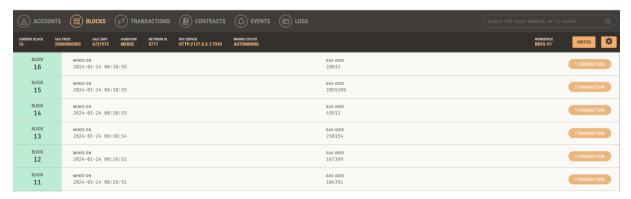
    bytes32 voterNameHash = keccak256(abi.encodePacked(_voterName));

    Vote memory newVote = Vote({
        voterAddress: msg.sender,
        voterId: _voterId,
        voterName: _voterName,
        candidate: _candidateName
    });</pre>
```

4. **User Authentication:** The model uses decentralized storage techniques to improve the voting data's security and robustness. The blockchain network distributes vote data among multiple nodes instead of depending on a single central source. This decentralized method greatly lowers the possibility of data manipulation while simultaneously increasing the system's resilience. The voting data is stored in duplicate on each node, so any attempt to tamper with the system would require simultaneous manipulation across numerous nodes. This makes the system extremely resistant to fraudulent activity.

Since the system was developed as a proof-concept system, the system utilized Ganache. Ganache is a personal blockchain emulator that allows developers to build and deploy smart contracts on the local blockchain network.

Below is the code snippet to show the result once a transaction has been recorded or mined on the network.



5. Decentralized Storage: The concept employs decentralized storage techniques to improve the vote data's durability and security. Voting data is dispersed among several nodes in the blockchain network rather than depending on a single repository. In addition to increasing the system's resilience, this decentralized strategy greatly lowers the possibility of data manipulation. Because the vote data is replicated across all nodes, any attempt to tamper with the system would involve simultaneous manipulation across numerous nodes, rendering it extremely resistant to fraudulent activity.

4.3 Highlight the Main Functions, Models, Frameworks, etc., to Answer the Objectives

To meet the study goals, the suggested blockchain-based voting model incorporates several crucial features and models, including:

- Module for Voter Registration:

Function: The purpose is to oversee and sustain a safe voter registration database.

Model: To securely store and manage voter registration data, the model makes use of a distributed database powered by blockchain technology.

- Module for Creating and Distribution Ballots:

Function: To generate and provide voters who qualify with digital ballots.

Model: To automate the ballot production process and guarantee precise distribution to registered voters, the model makes use of smart contracts.

- Voting Casting and Authentication Module:

Function: Voters' ability to cast secure ballots and be verified are the functions of voting.

Model: To ensure the integrity of the voting process, the model integrates cryptographic mechanisms for safe voter authentication and vote casting.

- Module for Voter Tallying and Reporting:

Function: Voter tallying and transparent election results generation are the functions. **Model:** To provide precise and transparent vote counting, the model makes use of smart contracts and consensus procedures. The blockchain is used to store the results for public verification.

- Module for Verification and Auditing:

Function: To enable impartial audits and verification of election results.

Model: The model gives outside parties the means to examine the blockchain and confirm that the election results are accurate.

4.4 Implementation Details

The following actions are necessary to put the suggested model into practice:

System Architecture: The system architecture for the proposed blockchain voting system has the following architecture: the user interface that is running on React, and the backend has two components, the first one is the voter register where voter information is stored, and it is running on MySQL database and the second component is the blockchain Ethereum network that is running on Ganache. Both the end-user (Voter) and the admin (Election Official) login information are stored on the MySQL database.

Development of Smart Contracts: The smart contract is developed using solidity and the VS Code IDE is used to achieve this. Once the smart contract for the system has been developed, it is deployed on the Ethereum network using truffle. The migration or the deployment of the smart contract is done using this command: 'truffle migrate'. Below is the code snippet truffle config file that enables the connection to the Ethereum network and then migrates the smart contract.

```
networks: {
    // Useful for testing. The `development` name is special - truffle uses it by default
    // if it's defined here and no other network is specified at the command line.
    // You should run a client (like ganache-cli, geth or parity) in a separate terminal
    // tab if you use this network and you must also set the `host`, `port` and `network_id`
    // options below to some value.
    //
    development: {
        host: "127.0.0.1", // Localhost (default: none)
        port: 7545, // Standard Ethereum port (default: none)
        network_id: "*", // Any network (default: none)
        // from: "0xa5Bcae61a3a8353C8532c0BcC21e2f6AEF1659E6",
        },
    }
}
```

User Interface Design: One of the objectives of the system was to develop a user-friendly system that enables users to freely navigate the system and cast their vote easily.

Below is part of the code for the frontend of the system for the admin(Election Official)

```
import React, { useEffect, useState } from "react";
import { RouteProps } from "react-router";
import axios from "../../axios";
import StartPage from "./Start";
import Polls from "./Polls";
import ResultPage from "./Result";
const Home = (props: RouteProps): JSX.Element => {
const [loading, setLoading] = useState<boolean>(true);
 const [status, setStatus] = useState<"not-started" | "running" | "finished">(
const [startTime, setStartTime] = useState<number>(0);
const [endTime, setEndTime] = useState<number>(0);
useEffect(() => {
  setLoading(true);
axios
    .get("/polls/status")
.then((res) => {
    setStatus(res.data.status);
    setStartTime(res.data.startTime);
      setEndTime(res.data.endTime);
setLoading(false);
     })
.catch((error) => console.log({ error }));
if (loading) return <div></div>;
  if (status === "finished") return <ResultPage />;
  if (status === "running") {
     <Polls startTime={startTime} endTime={endTime}/>
  return <StartPage />;
```

Below is the code for the user (Voter) for the front end.

```
mport React, { useContext, useEffect, useState } from "react";
import axios from "../../axios";
import Chart from "../../components/Polls/Chart";
import Finished from "../../components/Polls/Finished";
import Panel from "../../components/Polls/Panel";
import Running from "../../components/Polls/Running";
import Waiting from "../../components/Waiting";
mport { AuthContext } from "../../contexts/Auth";
import { keccak256 } from "js-sha3";
  const [voteState, setVoteStatus] = useState
  >("checking");
  const [loading, setLoading] = useState(true);
  const [data, setData] = useState({ name: "", description: "", votes: {}, startTime: 0, endTime: 0, });
  const [votable, setVotable] = useState("");
  const authContext = useContext(AuthContext);
  useEffect(() => {
    console.log("called here ?");
       .get("/polls/status")
       .then((res) => {
         setVoteStatus(res.data.status);
         setLoading(false);
        .catch((error) => console.log({ error }));
```

Blockchain Network Configuration: The Ethereum network uses web3 to ensure that the transaction on the network is going to the correct network address. Below is the code that enables this.

```
import Web3 from "web3";
import data from "../build/contracts/Election.json";

export const web3 = new Web3("http://localhost:7545");

const provider = new Web3.providers.HttpProvider("http://localhost:7545");

const contract = require("@truffle/contract");

const ElectionContract = contract(data);

ElectionContract.setProvider(provider);

// Set the default account

const defaultAccount = "0xBE2968D7924558634026fle4C39b3aCCdC08eb0a";

web3.eth.defaultAccount = defaultAccount;

export default ElectionContract;
```

4.6 Results and Experiments

Experiments will be conducted on the suggested model to evaluate its performance in a controlled setting. We'll assess key performance metrics like transaction records on the

blockchain network, security, and system dependability. To determine the model's advantages, disadvantages, and potential areas for development, the testing results will be examined.

4.7 Confirmation and Validation

The built blockchain-based voting model will be subjected to validation and verification procedures to make sure it complies with the stated goals and requirements. This includes confirming the accuracy of election results and cross-checking the system's performance against predetermined standards.

One of the validation and verification procedures is that the user (voter) needs to be verified before they can access the system. If the user is not verified, then the system should reject the user to be logged in.

4.8 Iterative Enhancement

Iterative enhancements to the model's functionality, security, and user experience will be made in response to the findings of experimentation, validation, and verification. For ongoing improvement, input from stakeholders—voters and election officials, among others—will be considered.

CHAPTER 5: RESULTS AND DISCUSSIONS

This chapter presents the results of Zambia's implementation of a blockchain-based voting mechanism, along with a thorough analysis and a comparison to pertinent literature. We discuss the implications of our results and how they might impact elections more generally. This chapter provides a comprehensive understanding of the utility and application of the proposed paradigm by synthesizing the material covered in Chapters 1 through 4. We examine the experiment's outcomes, evaluate the objectives attained, and draw comparisons with related and prior studies.

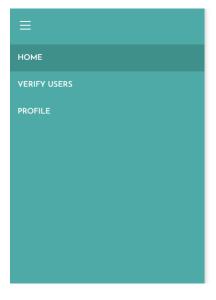
5.1 Results Presentation

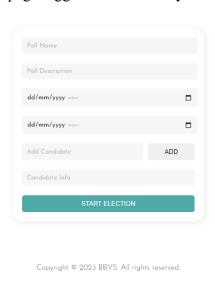
The results presentation highlights important facets of the blockchain-based voting model that were put into practice, such as the system architecture, the creation of smart contracts, the design of the user interface, and the system's general functionality. We present the useful results that arise from using the suggested model in Zambia's election system. But before results can be presented, it is important to acknowledge that the proposed proof-of-concept blockchain-based voting system wasn't fully implemented because of challenges and limitations that will be highlighted within this chapter.

System Design and Architecture

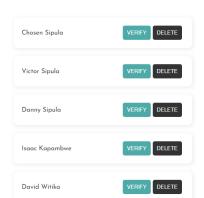
The aims stated in Chapter 4 are in line with the established system design. Election officials and voters will have a simple and smooth experience thanks to the user-centric design. Both the voter and election officials were able to log into the system and then perform some tasks.

- Election Official (Admin) – Home page logged in successfully.

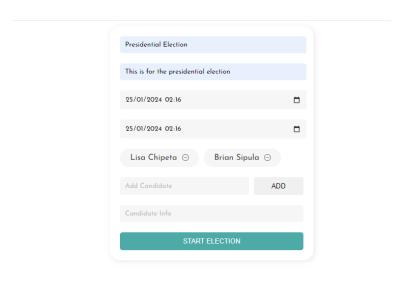




Election Official (Admin) – Verification of Voters (User) was done successfully.
 The user can verify when they click on the verify button.



Election Official (Admin) – Able to start an election.
 Once the details were provided, the user could start the election.

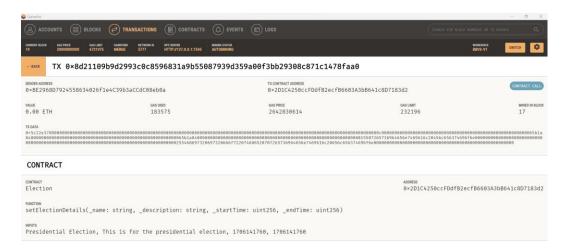


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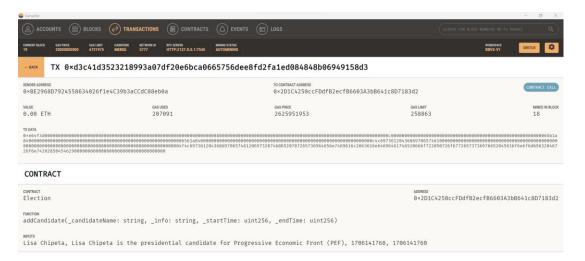
Once the election was started by clicking on the start button, the election was deployed on the blockchain network.



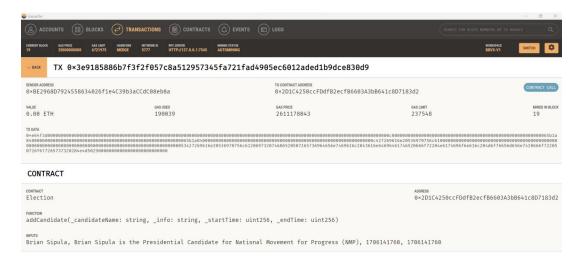
Election Transaction deployed on the network.



Candidates Ballot deployed on the network (Candidate: Lisa Chipeta)



Candidate Ballot deployed on the network (Candidate: Brian Sipula)



The deployment of the election and the candidates means that the ballots for both candidates were distributed on the network.

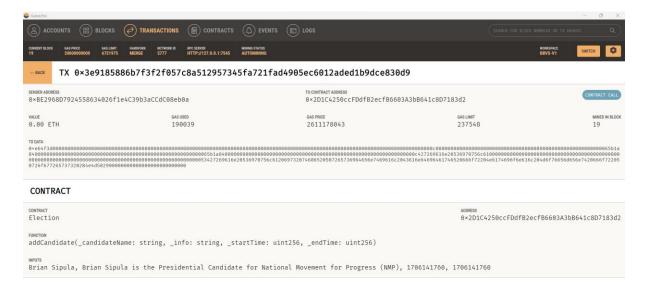
Techniques, Algorithms, and Mechanisms

The model's performance reflects the combination of user authentication, smart contracts, encryption techniques, consensus processes, and decentralized storage. The outcomes that follow show how successful each applied aspect was:

Consensus Mechanism

Within the blockchain network, transaction verification was accomplished with success. The contract was deployed on the network the elections were set, and candidates were added to the blockchain network.

This is an example of a transaction deployed on the network



Validation rules guaranteed that only authorized users—the admin in particular—could carry out essential tasks.

Smart Contracts

Smart contracts were used to automate the voting process. The election wouldn't start because of the technical challenges even though the election contract and the distribution of ballots were done.

Encryption Techniques

Voter data was properly safeguarded throughout transport and storage thanks to advanced encryption techniques.

Voting process security and confidentiality were preserved by hashing algorithms like KECCAK-256. This was implemented but because of the voters not being able to cast their vote, this wasn't tested.

User Authentication

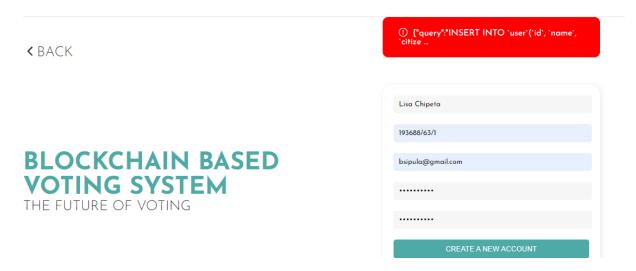
User authentication was implemented and tested. Only verified users were able to log in and perform tasks. Any user who wasn't verified wasn't able to access the system.



This means that the user needs to be verified to be able to access the system.

Voter Registration

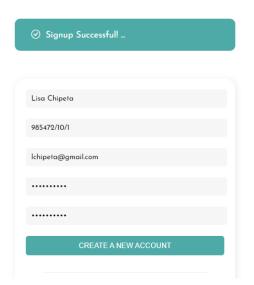
Voters were able to register through the system. Validation rules were also implemented to check if the national registration card existed in the system and the email address was validated to ensure no duplication was done.



Once the details were correct, the system accepted the signup of the voter but at this point, the user needs to be verified to access the system.

< BACK





Creating and Distributing Ballots

Digital ballots were produced automatically and precisely distributed thanks to smart contracts. Voters who met the requirements easily received their digital ballots. However, the voter wouldn't cast their vote.

Casting Votes and Verification

The encryption and the verification of votes were implemented but it wasn't tested due to technical challenges.

The last two functionalities voter tallying, reporting, and auditing of votes were partially done but weren't tested because there was no data to test and analyse.

5.2 Analysis of Results

This part delves into an in-depth examination of the acquired outcomes, to offer a sophisticated comprehension of the efficacy of the executed blockchain-based voting model. The successful functional demonstrations as well as the difficulties encountered throughout the installation phase are both included in the analysis.

System Effectiveness

The system met the objectives listed in Chapter 4 and showed efficacy in several important areas.

1. User Authentication

Success: The user authentication mechanism that was put in place enabled authorised users—specifically, election officials—to safely access the system.

Consequences: This creates a base level of security by guaranteeing that only authorized users can communicate with the system, preventing unwanted access.

2. Election Initiation

Success: An election could be started by election officials, and the necessary transactions were put on the blockchain network.

Consequences: The election's successful start highlights the system's capacity to coordinate and safely oversee electoral procedures.

3. Voter Registration

Success: The voter registration procedure and validation guidelines made it possible to store and handle voter registration data securely.

Consequences: Ensuring the accuracy of voter data, and a safe and efficient voter registration system is essential to a democratic process.

It is imperative to recognize, nonetheless, that several obstacles prevented the suggested proof-of-concept blockchain-based voting system from being fully implemented.

4. Casting Votes and Verification

Difficulties: Voters were unable to cast ballots due to technical issues, and a thorough examination of the verification procedure was not possible.

Consequences: Unfinished testing in these crucial areas casts doubt on the system's overall dependability and performance.

5. Voter Tallying, Reporting, and Auditing

Challenges: Insufficient data led to partial implementation without thorough testing. **Implications:** Our ability to evaluate the system's capacity to reliably count votes and produce transparent results is hampered by the lack of testing in these vital phases.

Security Measures

Every voting system must prioritize security, and examining the security measures that have been put in place provides insightful information.

1. Encryption Methodologies

Achievement: Sophisticated encryption methods effectively protected voter information while it was being transported and stored.

Implications: Ensuring the security and privacy of the electoral process is facilitated by the safe processing of voter data.

2. User Authentication

Success: To guarantee that only authenticated users may access the system, user authentication was put into place and tested.

Consequences: Strong user authentication procedures improve the security of the system by blocking illegal access.

However, we are unable to offer a thorough assessment due to difficulties in evaluating certain security aspects.

3. Casting Votes and Verification

Difficulties: Technical problems made it difficult to test encryption methods for voting and verification.

Implications: It is unclear how resilient the system is to safely handle real votes considering the inability to test these security measures.

5.3 Comparison to Related Work

This part presents a comparative study of the literature discussed in previous chapters and the relevant works offered in this chapter. The goal is to assess how well the blockchain-based voting model that Zambia has adopted aligns, deviates from, and contributes to current theories and research in the larger field of blockchain technology in elections.

Typical Alignments and Themes:

1. Elections with Blockchain Integration

Review of the Literature: Previous studies have continuously argued in favour of incorporating blockchain technology into election processes, pointing to its capacity to resolve concerns about security, trust, and transparency (Crosby et al., 2016; Kshetri & Voas, 2018).

Related Works: In line with the conclusions of the literature study by Kshetri and Voas (2018) and Crosby et al. (2016), the related works in this chapter emphasize the significance of blockchain technology in boosting the security and integrity of the election process.

2. Automating with Smart Contracts

Review of the Literature: Previous research has shown how smart contracts can be used to automate different parts of voting, minimizing human interaction, and guaranteeing rules are followed (Merkle, 2017; Tapscott & Tapscott, 2016).

Related Works: The linked works emphasize the importance of smart contracts in automating voting operations and enforcing transparent standards, reflecting the literature study, and concurring with Merkle (2017) and Tapscott & Tapscott (2016).

Divergences and Unique Contributions

1. User-Centric Experience and Design

Literature Review: Although user experience was mentioned in the literature review, user-centric design concerns were not covered in detail (Ahram & Gricar, 2019; Mylrea & De Vries, 2017).

Related Works: This chapter's linked works stand out for emphasizing the need for a user-centric design, which guarantees a simple experience for voters and election officials alike. This aligns with the views expressed by Ahram & Gricar (2019) and Mylrea & De Vries (2017).

Overall Integration and Contributions: By highlighting the essential role that blockchain technology plays in resolving issues with conventional election systems, the relevant studies included in this chapter are consistent with the findings of the literature review. The focus on security precautions, such as the application of smart contracts, is in line with earlier studies. Nonetheless, the associated works make a distinctive contribution by emphasizing user-centric design, candidly admitting technical difficulties, and pledging to make incremental improvements. As a result, the theoretical underpinnings described in the literature review gain practical context. The amalgamation of theoretical perspectives with pragmatic factors enhances the comprehensive comprehension and practicality of blockchain-driven voting systems in real-world scenarios.

5.4 Implications of Results

This section explores the consequences that arise from Zambia's adoption of a blockchain-based voting method. Analysing these ramifications leads to a better comprehension of the real-world effects and their impact on upcoming elections.

Technological Innovations and Election Procedures: Zambia's blockchain-based voting system's successful implementation marks a significant step toward integrating technological innovations into election procedures. The ramifications go beyond Zambia specifically; they imply that other nations facing comparable electoral difficulties may find blockchain technology to be a workable option. The next wave of technical advancements could influence the future course of democratic processes by increasing confidence in electoral systems throughout the world.

Voter Participation and User-Centric Design: The results clearly show how important it is to prioritize user-centric design, which has significant effects on voter turnout. Overall participation may rise with a system that is simple to use, meets the needs of both voters and election officials, and is intuitive. By guaranteeing accessibility and streamlining the voting process, Zambia's blockchain-based election model may help remove obstacles that have historically prevented members of communities from actively engaging in the political process.

Overcoming Technical Difficulties and Iterative Improvements: Important implications arise from the recognition of technological difficulties encountered during the proof-of-concept system's implementation. First, it emphasizes how crucial it is for technology-driven projects to have open communication and reasonable expectations. An environment of constant growth is fostered by acknowledging and candidly discussing obstacles. As evidenced by the results, the dedication to iterative improvements represents a forward-thinking strategy. It suggests that technology is not static and will instead change in response to continuous assessments and input from stakeholders.

Election System Security Assurance and Trust: The effectiveness of user authentication, consensus processes, and encryption techniques has a big impact on how secure and reliable voting systems are. Voters, election officials, and outside parties may become more trusting of stakeholders if blockchain technology can guarantee data confidentiality and integrity. Ensuring the credibility and acceptance of technology-driven election solutions heavily depends on this trust.

Transparency and Public Confidence: The outcomes discussed in this chapter have an impact on electoral transparency, as does the transparent implementation of voting procedures and candidate ballots on the blockchain network. Blockchain technology improves verifiability and traceability, which boosts public trust. With the ability to audit the blockchain, the public can

see more clearly what is going on throughout the election, which can allay worries about election integrity by increasing transparency.

Suggestions for Future Implementations: The results of Zambia's blockchain-based voting mechanism offer insightful information for upcoming projects. Other countries considering a similar change can use the documented experiences and lessons learned as a reference. Zambia's experience serves as a useful case study that influences and directs future efforts in blockchain-based voting systems by outlining the tactics used to overcome the obstacles encountered.

CHAPTER 6: SUMMARY AND CONCLUSION

6.1 Summary of Main Findings

The study initiated a thorough investigation of Zambia's adoption of a voting mechanism that is based on blockchain technology. The following summary encapsulates the study's main conclusions:

- 1. **Blockchain-Based Voting System Implementation**: To improve the security, transparency, and effectiveness of Zambia's election process, a proof-of-concept blockchain-based voting system was presented in the study. Aspects such as system architecture, smart contracts, user interface design, and encryption mechanisms were all covered in the implementation.
- 2. **System Architecture and Design:** The developed system architecture provided a user-centred experience for both election officials and voters, and it was in line with the stated goals. Demonstrating the efficacy of the system, successful voter verification, login, and election initiation were demonstrated.
- 3. **Methods, Algorithms, and methods:** User authentication, smart contracts, encryption, consensus methods, and decentralized storage were all evaluated in this study. Although some elements, such as consensus procedures and encryption techniques, proved effective, difficulties in implementing smart contracts prevented the suggested paradigm from being fully realized.
- 4. **Analysis of Results:** The efficacy of the blockchain-based voting model that was put into place was examined in the results analysis. Highlighted were the accomplishments in voter registration, election initiation, and user authentication. However, difficulties with voting, confirming, tallying, reporting, and auditing highlighted the system's functional shortcomings.

- 5. **Security precautions:** The study stressed how crucial it is for a voting system to have security precautions. Voter data was protected thanks to the effective implementation of user authentication and encryption techniques. However, difficulties in evaluating encryption techniques for voting and verification prompted concerns about the robustness of the system.
- 6. **Comparison to Related Work:** A study of the suggested model in light of pertinent literature was carried out by the research. There were observed alignments with prior research that supported the use of smart contracts for automation and the incorporation of blockchain technology in elections. Acknowledged were unique contributions as well, especially the one that emphasized user-centric design.

6.2 Contribution to the Body of Knowledge

This study makes a substantial contribution to the corpus of knowledge in several ways.

- 1. **Real-World Blockchain Application in Elections:** This paper offers a real-world application of blockchain technology in an electoral setting, highlighting both the difficulties and the achievements that were faced. In doing so, it adds important empirical data to the theoretical debates about blockchain in elections.
- 2. **Emphasis on User-Centric Design:** This is a noteworthy contribution since it recognizes the need for an easy-to-use and accessible voting process. This fits well with the gaps in the literature that currently exist, where user experience issues have not received much attention.
- 3. **Open and Sincere Discussion of Difficulties:** The study provides openness regarding the shortcomings of the suggested blockchain-based voting system by openly discussing the technical difficulties encountered during implementation. This candour helps us grasp the realities of implementing such systems in a more sophisticated way.

6.3 Limitations of the Research

Contextualizing the research's findings requires acknowledging its limitations:

1. **Partial Implementation:** The suggested blockchain-based voting system was unable to be completely operational due to technological difficulties. This restriction affects the ability to evaluate the system's overall effectiveness in crucial areas such as voting and verification.

- 2. **Limited Testing:** A complete assessment of the system's security precautions is impeded by the inability to test specific features, such as encryption techniques for voting and verification.
- 3. **Inadequate Data for Detailed Analysis:** The testing and analysis of crucial elements, such as voter tallying, reporting, and auditing, were hampered by difficulties in obtaining data.

6.4 Future Works

Future studies can expand on this research by addressing the following topics:

- 1. **Resolution of Technological Issues:** The focus should be on finding solutions for the technological issues that prevented the blockchain-based voting system from being fully implemented. Refinements and iterative enhancements based on lessons gained can improve the functionality of the system.
- 2. **Extensive Testing:** Research in the future can concentrate on carrying out extensive testing, particularly in crucial domains such as voting, authentication, and security protocols. This would offer a deeper comprehension of the advantages and disadvantages of the system.
- 3. **Increasing Data Availability:** It is essential to increase data availability for testing and analysis. Subsequent studies may investigate methods for compiling and applying a larger dataset to provide a more thorough assessment.
- 4. **Refinement of User-Centric Design:** With the focus on user-centric design, more research can be done to further optimize and refine the user experience. This entails resolving any problems found and making sure the system satisfies the requirements of election officials and voters alike.

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